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PTO/SB/21 (08-00) base type a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Numb r 09/731.317 TRANSMITTAL Filing Date December 6, 2000 C& TRAI FORM First Named Inventor Normand Nantel (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 015225-005310US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Declaration Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees Certified Copy of Priority Remarks to Deposit Account 20-1430. Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP Darin J. Gibby Reg. No. 38,464 Individual name Signature Date March 21, 2001 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 21, 2001 Typed or printed name Connie Larson Signature Date March 21, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DE 7036302 v1

PTO/SB/17 (11-00)

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FEE TRANSMITTAL for FY 2001

Complete if Known 09/731,317 **Application Number** December 6, 2000 Filing Date First Named Inventor Normand Nantel **Examiner Name** 

Patent fees are subject to annual revision.

Group Art Unit TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. 015225-005310US

	METHOD OF	DAVMENT (aback	)					FFF 0	AL CUIL ATION /	·		
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)								
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:			3. ADDITIONAL FEES			Small						
			l	Entity Entity					_			
Deposit			Fee Code			Description		Fee Paid				
Account 20-1430			105	( <b>\$)</b> 130	205	(\$) 65	Surcharge - late filing fee or oath			130		
Number				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.			100	
Deposit Account Townsend and Townsend and Crew LLP			139	130	139	130	Non-English specification					
Name			ŀ	147	2,520	147	2,520	For filing a request for reexamination				
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
Applicant claims small entity status. See 37 CFR 1.27			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action					
	nt Enclosed:	<del></del>			115	110	215	55	Extension for reply within first month			<del></del>
☐ Check ☐ Credit card ☐ Money ☐ Other					116	390	216	195	Extension for re			
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					128	1,890	228	945	Extension for reply within fifth month			
, ,	Small Entity Fee Fee	Fee Description			119	310	219	155	Notice of Appeal			
	Code (\$)	ree Description	Fee Paid		120	310	220	155	Filing a brief in support of an appeal			
	201 355	Utility filing fee		7	121	270	221	135	Request for oral	hearing		
106 320	206 160	Design filing fee		7	138	1,510	138	1,510	Petition to institu	Petition to institute a public use		
	207 245	Plant filing fee		-	140	110	240	55	Petition to revive – unavoidable			
	208 355	Reissue filing fee		$\dashv$	141	1,240	241	620	Petition to revive			
114 150 214 75 Provisional filling fee				142	1,240	242	620	Utility issue fee (or reissue)				
SUBTOTAL (1) (\$)			7	143	440	243	220	Design issue fee				
σορισιλε(ι) (ψ)				144	600	244	300	Plant issue fee				
2. EXTRA CLAII	N FEES				122	130	122	130	Petitions to the	Commissioner		
			e from Fee low Paid		123	50	123	50	Petitions related			
Total Claims		x	=	_	126	180	126	180	Submission of Ir	nformation Dis	closure	
Independent Claims Multiple	=	×	=	$\exists$	581	40	581	40	Recording each patent assignment per property (times number of properties)			
Dependent	endent X =				146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))			
Large Entity Small Entity Fee Fee Fee Fee Fee Description				149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))				
Code (\$) 103 18	Code (\$) 203 9			179	710	279	355	Request for Continued Examination (RCE)				
102 80	202 40		laims in excess of 3		169	900	169	900	Request for expe	dited examinati	on of a	<del></del>
104 270	204 135	** Paissus ind	dent claim, if not paid ependent claims over		1				design application	n		<u> </u>
109 80	209 40	original patent	•		Other fe	e (specif	y)	_				
110 18 210 9 *** Reissue claims in excess of 20 and over original patent			*Reduc	ed by Ba	sic Filin	g Fee Pa	aid SUBT	OTAL (3)	(\$) 130			
SUBTOTAL (2) (\$)			The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.									
**or number previously parts, if greater; For Reissues, see above												
SUBMITTED BY									Con	тртете ( <i>ir appri</i>	арів)	$\Rightarrow$
Name (Print/Type)	Darin J	. Gibby	Registration No. Attorney			gent) 38,464			Telephone	(303) 571-4000		
Signature Date March 21, 2001												
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/731,317

12/06/2000

Normand Nantel

015225-005310US

CONFIRMATION NO. 1032
FORMALITIES LETTER

\*OC000000005736318\*

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

Date Mailed: 02/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant-is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

o The oath or declaration is missing.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/30/2001 SDENBOB1 00000004 201430 09731317

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A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
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